

10/11/01

10-12-01

A

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Attorney Docket No.	100/00342
First Inventor or Application Identifier	Parce
Title	High Throughput Screening Assays Systems ...
Express Mail Label No.	EL544598419US

Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table of Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) Total Pages <b>53</b>	a. <input type="checkbox"/> Computer Readable Copy
-Descriptive title of the invention	b. <input type="checkbox"/> Specification Sequence Listing on
-Cross References to Related Applications	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
-Statement Regarding Fed sponsored R&D	ii. <input type="checkbox"/> Paper
-Reference to sequence listing, a table, or a computer program listing appendix	c. <input type="checkbox"/> Statement verifying identity of above copies
-Background of the Invention	
-Brief Summary of the Invention	
-Brief Description of the Drawings (if filed)	
-Detailed Description	
-Claim(s)	
-Abstract of the Disclosure	
<input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets <b>14</b>	
<input checked="" type="checkbox"/> Oath or Declaration Total Pages <b>2</b>	
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	
b. <input type="checkbox"/> Unexecuted Oath or Declaration	
c. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed)	
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) Named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).	
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

**ACCOMPANYING APPLICATION PARTS**

9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement Power of Attorney (when there is an assignee)	
11. <input type="checkbox"/> English Translation Document (if applicable)	
12. <input checked="" type="checkbox"/> Information Disclosure Statement Copies of IDS Citations() (IDS)/PTO-1449	
13. <input checked="" type="checkbox"/> Preliminary Amendment	
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
16. <input checked="" type="checkbox"/> Other <b>Copy of US Pat 6,221,677</b>	

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment; or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No: **09/346,660**

Prior application information: Examiner **Pham** Group/Art Unit: **1641**

For CONTINUATION OR DIVISIONAL only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5c, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label **021569** or ☐ Correspondence Address below  
(Insert Customer No. or Attach bar code label here)

Name					
Address					
City	State	Zip Code			
Country	Telephone	Fax			

Name	<b>Gulshan H. Shaver</b>	Registration No.	<b>37,496</b>
Signature	<i>Gulshan H. Shaver</i>	Date	<b>10/11/01</b>

**CERTIFICATE OF EXPRESS MAILING under 37 CFR 1.10**

Express Mail Label No: **EL544598419US** Date of Deposit **October 11, 2001**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Typed Name of Person Mailing Paper or Fee **Michelle Chan** Signature *Michelle Chan*

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

## Complete if Known

Application Number	
Filing Date	<b>Herewith</b>
First Named Inventor	<b>Parce</b>
Examiner Name	<b>Pham</b>
Group/Art Unit	<b>1641</b>
Attorney Docket No.	<b>100/00342</b>

TOTAL AMOUNT OF PAYMENT **\$740**

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Acct. No. **03-0177**

Deposit Acct. Name **Caliper Technologies Corp.**

- ☒ 1.16 and 1.17  
☐ 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CF 1.27

2. Payment Enclosed:  
☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

1. BASIC FILING FEE						
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	
101	740	201	370	Utility filing fee	740	
106	330	206	165	Design filing fee		
107	510	207	255	Plant filing fee		
108	740	208	370	Reissue filing fee		
114	160	214	80	Provisional filing fee		
SUBTOTAL (1)					740	

2. EXTRA CLAIM FEES						
Total claims	Extra claims	Fee from below	Fee Paid			
11	-20** = 0 x	18 =	0			
Independent Claims	2 -3** = 0	84 =	0			
Multiple Dependent						
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	
103	18	203	9	Claims in excess of 20		
102	84	202	42	Independent claims in excess of 3		
104	280	204	135	Multiple dependent claims, if new		
109	84	209	42	**Reissue independent claims over original patent		
110	18	210	9	**Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)					0	

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

3. ADDITIONAL FEES						
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	
105	130	205	65	Surcharge - late filing fee or oath		
127	50	227	25	Surcharge - late provisional filing cover sheet		
139	130	139	130	Non-English specification		
147	2520	147	2520	For filing a request for <i>ex parte</i> reexamination		
112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
113	1840*	113	1840*	Requesting publication of SIR after Examiner action		
115	110	215	55	Extension for response within first month		
116	400	216	200	Extension for response within second month		
117	920	217	460	Extension for response within third month		
118	1440	218	720	Extension for response within fourth month		
128	1960	228	980	Extension for response within fifth month		
119	320	219	160	Notice of Appeal		
120	320	220	160	Filing a brief in support of an appeal		
121	280	221	140	Request for oral hearing		
138	1510	138	1510	Petition to institute a public use proceeding		
140	110	240	55	Petition to revive - unavoidable		
141	1280	241	640	Petition to revive - unintentional		
142	1280	242	640	Utility issue fee (or reissue)		
143	460	243	230	Design issue fee		
144	620	244	310	Plant issue fee		
122	130	122	130	Petitions to the Commissioner		
123	50	123	50	Petitions related to provisional applications		
126	180	126	180	Submission of Information Disclosure Stmt		
581	40	581	40	Recording each patent assignment per property (times number of properties)		
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))		
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))		
179	740	279	370	Request for Continued Examination (RCE)		
169	900	169	900	Request for expedited examination of a design application		


Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

SUBTOTAL (3) **\$0**

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Typed or Printed Name	<b>Gulshan H. Shaver</b>	Reg. Number	<b>37,496</b>
Signature		Date	<b>10/11/01</b>
		Deposit Account User ID	<b>03-0177</b>

## Complete (if applicable)

## CERTIFICATE OF EXPRESS MAIL under 37 CFR 1.10

Express Mail Label No: **EL544598419US** Date of Deposit **October 11, 2001**  
 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Signature  Typed Name of Person Mailing Paper or Fee **Michelle Chan**